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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/880,397	06/12/2001	Denny Jaeger	4167/CIP	7138
7590	05/24/2004		EXAMINER	
Harris Zimmerman Law Offices of Harris Zimmerman Suite 710 1330 Broadway Oakland, CA 94612-2506			ROSWELL, MICHAEL	
			ART UNIT	PAPER NUMBER
			2173	4
DATE MAILED: 05/24/2004				

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	<b>Application No.</b>	<b>Applicant(s)</b>	
	09/880,397	JAEGER, DENNY	
	<b>Examiner</b>	<b>Art Unit</b>	
	Michael Roswell	2173	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

#### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) Responsive to communication(s) filed on 03 June 2002.  
 2a) This action is **FINAL**.                    2b) This action is non-final.  
 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) Claim(s) 1-9, 14-16, 18-20 and 22-32 is/are pending in the application.  
 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.  
 5) Claim(s) \_\_\_\_\_ is/are allowed.  
 6) Claim(s) \_\_\_\_\_ is/are rejected.  
 7) Claim(s) 10-13, 17 and 21 is/are objected to.  
 8) Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) The specification is objected to by the Examiner.  
 10) The drawing(s) filed on 12 June 2001 is/are: a) accepted or b) objected to by the Examiner.  
     Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
     Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).  
 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
 a) All    b) Some \* c) None of:  
 1. Certified copies of the priority documents have been received.  
 2. Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.  
 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

- 1) Notice of References Cited (PTO-892)  
 2) Notice of Draftsperson's Patent Drawing Review (PTO-948)  
 3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
     Paper No(s)/Mail Date 3.
- 4) Interview Summary (PTO-413)  
     Paper No(s)/Mail Date. \_\_\_\_\_.  
 5) Notice of Informal Patent Application (PTO-152)  
 6) Other: \_\_\_\_\_.

**DETAILED ACTION**

***Claim Objections***

Claim 10 is objected to because of the following informalities: the claim terminates improperly. Appropriate correction is required.

***Allowable Subject Matter***

Claims 10-13, 17 and 21 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

***Claim Rejections - 35 USC § 102***

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

Claims 1-7, 14-16, 18, and 22-26 are rejected under 35 U.S.C. 102(e) as being anticipated by Edwards et al (U.S. Patent 6,459,442), hereinafter Edwards.

Regarding claim 1, Edwards shows inputting at least one object on a screen display, taught as “segments” which have stroke behaviors applied to them, at cols. 4-5, lines 62-67 and 1-6. Edwards further teaches using an input device to draw at least one arrow having a configuration recognized by software to associate the arrow with at least one object, and having

an arrow convey a transaction relating to said at least one object, taught as the painting, recognition, and implementation of an arrow “shortcut” gesture, in Fig. 5, col. 6, lines 2-5 and 14-16.

Regarding claim 2, Edwards teaches an arrow including a tail end (by definition arrows have a head end and a tail end), where a configuration comprises at least one object being disposed within a definable distance to the tail end, taught as the association of a stroke with a segment due to a predefined distance between the two, at col. 6, lines 56-62.

Regarding claim 3, Edwards teaches a further object disposed within a definable distance to the head end of said arrow, with a transaction being directed by the arrow to be carried out from at least one object to the further object, taught as the use of a drawing of a line to “join” segments on a display, at col. 10, lines 36-40. Although Edwards claims a straight line as the “join” stroke, drawing a line and drawing an arrow are sufficiently similar in view of the claim language to qualify as prior art.

Regarding claim 4, Edwards teaches a selecting a transaction from a set of definable transaction categories, each transaction category having associated with it a definable arrow appearance, taught as the difference between “join” and “split” segment operations, at col. 10, lines 36-49.

Regarding claims 5-7, Edwards teaches an arrow appearance including at least one of a plurality of color choices, plurality of line styles, or combination of the two, each corresponding to at least one of said transaction categories, taught as the use of context identifiers to store

such information as stroke color and location, and inherently stroke shape, or style (see Fig. 5). Each stroke is identified with a “behavior”, or transaction category. See col. 8, lines 40-56.

Regarding claim 14, Edwards teaches at least one object comprising a previous arrow drawn from an on-screen object, the at least one arrow extending to a portion of a previous arrow, with a modifier command entered within a definable distance to said at least one arrow, the at least one arrow acting to apply the modifier command to the transaction conveyed by the previous arrow, taught as the modification of a set of painted strokes, at col. 12, lines 7-10.

Regarding claim 15, Edwards teaches entering a modifier command as text adjacent to said at least one arrow, taught as the calculation of handwritten formulas in response to the user performing the line stroke, at col. 12, lines 18-24.

Regarding claim 16, Edwards shows a previous arrow drawn from an on-screen object, the at least one arrow having a front end extending to a portion of a previous arrow, and a tail end associated with another on-screen object, the at least one arrow acting to apply the function of another on-screen object to the transaction conveyed by the previous arrow, taught as the use of multiple behaviors applied to a segment, at col. 11, lines 17-25.

Regarding claim 18, Edwards teaches copying said at least one object to an on-screen location adjacent to the front end of at least one arrow, taught as the use of a “move” gesture for repositioning segments, at col. 10, lines 27-29.

Art Unit: 2173

Regarding claim 22, Edwards teaches changing said at least one object to equal at least one attribute of a further on-screen object disposed within a user definable distance to the front end of at least one arrow, taught as the erasure of a two-stroke street by performing the erase gesture on one stroke of the street, at col. 13, lines 29-32.

Regarding claim 23, Edwards shows at least one arrow adapted to change its aesthetic properties after being drawn and recognized, and further including the step of touching the arrow with an input device to implement said transaction, taught as the representation in multiple colors and subsequent user selection of candidate strokes, at col. 12, lines 31-41.

Regarding claim 24, Edwards teaches entering a Show Arrow command to make visible at least one arrow previously drawn and disappeared, taught as the use of a menu to recover stored strokes, segments, and behavior history, at col. 9, lines 19-21.

Regarding claim 25, the Show Arrow command comprises a hand drawn symbol recognized by the software as a Show Arrow command, taught as allowing access to the stored stroke menu after the recognition of a tap gesture, at col. 6, lines 6-12.

Regarding claim 26, Edwards teaches at least one object including a variable controller, and a transaction includes specifying the direction of movement of the controller to increase and decrease said variable, taught as the use of a time slider to specify a point in time in the user's stroke history, at col. 9, lines 28-31.

Art Unit: 2173

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 8, 9, 19, and 31 are rejected under 35 U.S.C. 103(a) as being unpatentable over Edwards and Moran et al (U.S. Patent 6,525,749), hereinafter Moran.

Regarding claim 8, Edwards has been shown to teach inputting at least one object on a screen display. Edwards further teaches using an input device to draw at least one arrow having a configuration recognized by software to associate the arrow with at least one object, and having an arrow convey a transaction relating to at least one object.

Edwards fails to explicitly teach a configuration including a portion of an arrow circumscribing at least one object.

Moran teaches a method for supporting handwritten freeform data similar to the input methods of Edwards. Moran further teaches a configuration including a portion of an arrow circumscribing at least one object, taught as the "loop-select" of character objects by a user, at col. 20, lines 46-49.

Therefore, it would have been obvious to one of ordinary skill in the art, having the teachings of Edwards and Moran before him at the time of the invention to modify the gesture recognition system with object manipulation of Edwards to include the "loop-select" feature of Moran in order to obtain a gesture recognition system where the user may select objects to be manipulated in a lassoing fashion.

One would be motivated to make such a combination for the advantage of greater freeform selection of objects. See Moran, col. 3, lines 38-45.

Regarding claim 9, Edwards teaches a further object disposed within a definable distance to the head end of said arrow, with a transaction being directed by the arrow to be carried out from at least one object to the further object, taught as the use of a drawing of a line to "join" segments on a display, at col. 10, lines 36-40. Although Edwards claims a straight line as the "join" stroke, drawing a line and drawing an arrow are sufficiently similar in view of the claim language to qualify as prior art.

Regarding claim 19, Moran shows placing at least one object inside a further onscreen object disposed within a user definable distance to the front end of at least one arrow, taught as the movement of selected character objects in between other character objects or into a paragraph object using a move gesture. See Figs. 17(e)-(f) and col. 20, lines 46-49.

Regarding claim 31, Moran teaches at least one object associated with an arrow adapted to change the aesthetic properties of an object after the arrow is drawn, and further including the step of touching the object with an input device to implement a transaction, shown previously as the selection and removal or relocation of character objects in response to a user gesture.

Claims 20 and 29 are rejected under 35 U.S.C. 103(a) as being unpatentable over Edwards and Naughton et al (U.S. Patent 6,020,881), hereinafter Naughton.

Edwards has been shown *supra* to teach implementing a transaction between two objects disposed within a user definable distance to the front end of at least one arrow.

Edwards fails to explicitly teach such a transaction as directing an electronic signal, and redirecting the signal path between objects.

Naughton teaches a method for interfacing to remote devices that includes gesture recognition similar to that of Edwards. Furthermore, Naughton teaches a transaction as directing an electronic signal between two on-screen objects, taught as the communication of the system with remote devices, at col. 8, lines 50-54.

Therefore, it would have been obvious to one of ordinary skill in the art, having the teachings of Edwards and Naughton before him at the time of the invention to modify the gesture recognition system with object manipulation of Edwards to include the remote device control of Naughton in order to obtain a freeform object manipulation system capable of controlling hardware devices.

One would be motivated to make such a combination for the advantage of controlling multiple devices through one simple interface.

Claims 27 and 28 are rejected under 35 U.S.C. 103(a) as being unpatentable over Edwards and Davis et al (U.S. Patent 5,740,436).

Regarding claim 27, Edwards has been shown to teach the use of arrow gestures to control objects on screen, and the use of variable controllers in manipulating objects.

Edwards fails to explicitly teach the manipulation of a sound file through the use of such variable controllers.

Davis teaches the manipulation of object variables through sliders similar to those taught by Edwards. Davis further teaches the manipulation of a sound file through the use of such variable controllers, taught as the use of an interface and a volume slider on that interface to change variables related to specified sound files, at col. 7, lines 49-55.

Therefore, it would have been obvious to one of ordinary skill in the art, having the teachings of Edwards and Davis before him at the time of the invention to modify the gesture recognition system with object manipulation and variable slider of Edwards to include the sound file manipulation of Davis, in order to obtain a method for freeform object manipulation where sounds and sound files may be managed.

One would be motivated to make such a combination for the advantage of easily configuring the settings for the sound of a system. See Davis, col. 2, lines 13-19.

Regarding claim 28, while Davis teaches the manipulation of a sound file by a variable controller, and Edwards teaches multiple simultaneous behaviors, both fail to explicitly teach providing an interface for the manipulation of both right and left channels in an audio system. Such interfaces to audio systems are well-known in the art, allowing for user control of both right and left channels. The Examiner takes OFFICIAL NOTICE of these teachings. Therefore, it would have been obvious to one of ordinary skill in the art to include an audio interface with variable right and left channel settings into the audio control interface of Davis and Edwards to provide a complete audio interface.

Claims 30 and 32 are rejected under 35 U.S.C. 103(a) as being unpatentable over Edwards.

While Edwards teaches user-drawn arrows to implement transactions in a gesture recognition system, variable line styles, and the erasure of such arrows by a user implemented gesture, the reference fails to explicitly teach the flickering of arrows after being drawn. Devices for drawing the attention to on-screen objects are well-known in the art, and include blinking or flickering, highlighting, or emphasis such as bold-faced type or italics. Furthermore, temporary

changes to aesthetic properties of on-screen objects are well-known, for example, flashing icons in the Microsoft Windows Taskbar to alert a user to a change in the application. The Examiner takes OFFICIAL NOTICE of these teachings. Therefore, it would have been obvious to one of ordinary skill in the art to apply emphasis to a drawn stroke to alert a user to an object or necessary action.

### ***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Michael Roswell whose telephone number is (703) 305-5914. The examiner can normally be reached on 8:30 - 6:00 M-F.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, John Cabeca can be reached on (703) 308-3116. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Michael Roswell  
5/12/2004

  
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